STASH CAPITAL LLC

DISCLOSURE OF ORDER EXECUTION AND ROUTING PRACTICES (SEC RULE 606)

The Securities and Exchange Commission (SEC) adopted Rule 606 of Regulation NMS that requires broker dealers, such as Stash Capital LLC, to disclose information on order routing practices. Apex presents this through quarterly reports, broken out by month, that present an overview of order routing practices and the relationship between certain venues where orders are routed.

Stash Capital LLC exclusively routes held orders in Rule 606 reportable securities to its clearing broker, Apex Clearing Corporation ("Apex"), for order routing. Apex may not be the ultimate execution venue for such orders, and these orders may be further routed to other execution venues. Apex is required to seek best execution for these orders. Apex receives remuneration (i.e. payment for order flow) for directing orders to particular broker dealers or market centers for execution. Stash Capital LLC shares in such payments. Stash Capital LLC does not direct, select or control the execution venues to which Apex routes Rule 606 reportable securities for execution. Stash Capital LLC performs regular and rigorous review of the execution quality for orders routed to Apex.

Please click <u>here</u> to view the most recent quarterly report compiled by Apex that reflects any execution venue specific arrangements or other execution venue fees or rebates.